

**DECLARATION - USA PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHODS OF GENETIC CLUSTER ANALYSIS AND USES THEREOF**; the specification of which was filed on October 10, 2000 as Application Serial No. 09/693,333.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim the benefit under Title 35, United States Codes § 119(e) of any United States provisional application(s) listed below.

Application No.: 60/161,231
Application No.: 60/216,897

Filing Date: 1999-10-22
Filing Date: 1999-07-07

I hereby appoint the following attorneys and agents: John M. Lucas, Registration No. 43,373; Lukas R. Voellmy, Registration No. 43,358; and, Peter Follette, Registration No. 46,213, with full power of substitution, association, and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: **BOGUSLAW A. SKIERCZYNSKI**

Inventor's signature _____

Date 01/25/01

Residence: **4775 Calligraphy Court, Oceanside, California 92057**

Citizenship: **USA**

Post Office Address: **4775 Calligraphy Court, Oceanside, California 92057**

DECLARATION - USA PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHODS OF GENETIC CLUSTER ANALYSIS AND USES THEREOF**; the specification of which was filed on October 10, 2000 as Application Serial No. 09/693,333.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim the benefit under Title 35, United States Codes § 119(e) of any United States provisional application(s) listed below.

Application No.: 60/161,231
Application No.: 60/216,897

Filing Date: 1999-10-22
Filing Date: 1999-07-07

I hereby appoint the following attorneys and agents: John M. Lucas, Registration No. 43,373; Lukas R. Voellmy, Registration No. 43,358; and, Peter Follette, Registration No. 46,213, with full power of substitution, association, and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: **NICHOLAS J. SCHORK**

Inventor's signature Nicholas J. Schork

Date

6/6/01

Residence: **3185 Belvoir Blvd, Shaker Heights, Ohio 44122**

Citizenship: **USA**

Post Office Address: **3185 Belvoir Blvd, Shaker Heights, Ohio 44122**



Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it display a valid OMB control number.

POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

| | |
|------------------------|----------------------|
| Application Number | 09/693,333 |
| Filing Date | October 20, 2000 |
| First Named Inventor | Skierczynski, et al. |
| Group Art Unit | 2183 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 73.US3.REG |

I hereby appoint:

☐ Practitioners at Customer Number

000027206 →

Place Customer
Number Bar Code
Label here

OR

☒ Practitioner(s) named below:

| Name | Registration Number |
|------------------|---------------------|
| John M. Lucas | 43,373 |
| Peter Follette | 46,213 |
| Lukas R. Voellmy | 43,358 |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☒ Firm or Individual Name John Lucas, Ph.D., J.D.

Address Genset Corporation

Address 10665 Sorrento Valley Road

City San Diego State CA Zip 92121-1609

Country USA

Telephone (858) 597-2600 Fax (848) 597-2601

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

| | |
|-----------|--------------------|
| Name | Nicholas J. Schork |
| Signature | |
| Date | 6/6/01 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



03a

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Boguslaw A. Skierczynski, et al.
Application No./Patent No.: 09/693,333 Filed/Issue Date: 10/20/2000
Entitled: Methods of Genetic Cluster Analysis and Uses Thereof
Genset, a corporation,
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6/8/01
Date

John Lucas, Ph.D., J.D.

Typed or printed name

[Signature]
Signature

Director, Intellectual Property

Title